MODEL PREDICTIVE CONTROL AND EXTENDED COMMAND GOVERNOR FOR IMPROVING ROBUSTNESS OF RELATIVE MOTION GUIDANCE AND CONTROL

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The paper describes two approaches to improving on-board robustness of spacecraft relative motion guidance and control. The first approach is based on Model Predictive Control (MPC), and this paper demonstrates its capability for robust trajectory execution by changing the environment with a sudden obstacle appearing along its path. The second, novel approach uses an Extended Command Governor (ECG) that augments a nominal LQ controller. The ECG modifies commanded set-points to the inner loop LQ controller when it becomes necessary to avoid constraint violation. Simulations are used to compare the two approaches and experimental results of MPC, based on an omnibot system developed for validation of spacecraft relative motion control algorithms, are reported.

INTRODUCTION

The need for robust Earth spacecraft guidance and control is becoming greater as of recently, especially in the realm of low orbits where the danger of debris collision is prominent. The crash between the U.S. satellite manufactured by Iridium and a non-operational Russian Cosmos satellite in 2009 is one such collision that not only resulted in the destruction of both satellites, but also in the creation of a large number of smaller debris, any of which could be the cause of another downed satellite. Much research has already been done in the field of robust spacecraft maneuver planning in order to prevent such an event from happening. Traditionally, such approaches to spacecraft control lead to open-loop maneuvers, see References 5 and 6 for examples.

Feedback guidance and control algorithms, however, are becoming increasingly attractive as the necessity for spacecraft autonomy increases. Model Predictive Control (MPC) is an widely used feedback control approach for systems with multiple constraints. Relative motion control for line-of-sight rendezvous using MPC has been considered in References 7–11 and has been shown to improve maneuver robustness. It has also been studied for spacecraft formation flying applications in the presence of sensing noise. In terms of maneuver efficiency, MPC appears to be most advantageous when its prediction and control horizon span the entire maneuver. A long horizon such as this however requires considerable computational power. In this paper, MPC is applied to relative motion obstacle avoidance, where the obstacle may be sensed in the process of executing a maneuver. Simulations are performed with a reasonably long horizon, but this leads to computational difficulties for on-board implementation. Therefore, on-board implementation and testing of MPC in this paper is done with a considerably shorter horizon, still showing that it may be used for fast, robust obstacle avoidance.

An Extended Command Governor (ECG), which modifies set-point commands to satisfy flight constraints, can also be used for spacecraft relative motion control. As opposed to MPC, ECG is an add-on to an existing
controller, which is a Linear Quadratic (LQ) controller in this paper. Its simpler variant, the reference governor, has been previously applied to line-of-sight spacecraft rendezvous problems in Reference 13. The ECG can provide a larger constrained domain of attraction and faster response, even with disturbances present, but at the price of increased computational effort. This LQ/ECG has not been applied to robust relative motion obstacle avoidance, and it is one of this paper’s goals to compare it with methods such as conventional MPC.

This work is organized as follows. First, the relative spacecraft dynamics utilized in both MPC and LQ/ECG design is presented. The MPC problem is then formulated and solved for spacecraft maneuvering in a changing environment in simulations. Then the Linear Quadratic and Extended Command Governor configuration for spacecraft maneuvers is explained, with simulation results being presented. To further demonstrate each scheme, a planar-motion robot implementation is introduced. The advantages and disadvantages of both MPC and LQ/ECG are then explored in depth as both are compared against one another. The paper is ended with concluding remarks. Overall, the goal is to present two methods for robust relative motion maneuvering that are fast enough for on-board implementation.

SPACECRAFT RELATIVE MOTION

Continuous Relative Motion Dynamics

The spacecraft equations of motion in this paper are formulated in the non-inertial Hill’s frame. The origin of the frame is a chief spacecraft in a nominal circular orbit. The unit vectors are \( \hat{i}, \hat{j}, \) and \( \hat{k} \), and represent the radial track, the in-track, and the out-of-plane orbital positions respectively. The relative position vector of a spacecraft with respect to the center of the Earth is

\[
\mathbf{R} = \mathbf{R}_0 + \delta \mathbf{r} = (R_0 + x)\hat{i} + y\hat{j} + zk,
\]

where \( \mathbf{R}_0 \) is the nominal orbital position vector of the chief spacecraft, \( \delta \mathbf{r} \) is the relative position vector with respect to the chief’s location, \( x, y, z \) are the positions of the spacecraft in the relative frame, and \( R_0 \) is the nominal orbital radius. Using Equation (1), the nonlinear equations of motion can become

\[
\ddot{\mathbf{R}} = -\frac{\mu R}{R^3} + \frac{1}{m_c} \mathbf{F},
\]

where \( \mu \) is the gravitational constant, \( R = |R| \), \( m_c \) is the mass of the spacecraft, and \( \mathbf{F} \) is the vector of external forces applied to the spacecraft. \( \ddot{\mathbf{R}} \) can be further separated into its vector components as

\[
\ddot{\mathbf{R}} = (\ddot{x} - 3n^2 x - 2n\dot{y})\hat{i} + (\ddot{y} + 2n\dot{x})\hat{j} + (\ddot{z})k,
\]

where \( n = \sqrt{\frac{\mu}{R_0^3}} \) is the mean motion on the nominal orbit. Equations (2)-(3) represent the nonlinear equations of motion of a spacecraft in the relative motion Hill’s frame.

These nonlinear equations of motion can then be linearized by assuming (i) a circular chief orbit and (ii) that the distance relative to the chief is small in comparison to the radius of the chief orbit (\( |\delta \mathbf{r}| \ll |\mathbf{R}| \)). This results in the Clohessy-Wiltshire equations,

\[
\begin{align*}
\ddot{x} - 3n^2 x - 2n\dot{y} &= \frac{F_x}{m_c}, \\
\ddot{y} + 2n\dot{x} &= \frac{F_y}{m_c}, \\
\ddot{z} + n^2 z &= \frac{F_z}{m_c},
\end{align*}
\]

where \( F_x, F_y, F_z \) are the components of the external force vector \( \mathbf{F} \).

Remark 1: We note that the linearization of Equation (4) is time-invariant as the nominal orbit is assumed to be circular. For relative motion maneuvers near a chief spacecraft on a nominally elliptic orbit, a set of
time-varying linearized, equations can be derived. Since in this work the optimization solver used to generate the MPC solution is online, the case of elliptic orbits can be handled analogously.

**Discrete Relative Motion Dynamics**

For the MPC and LQ/ECG implementation, the linearized spacecraft dynamics in Equation (4) are formulated into the continuous-time system realization \((A_c, B_c)\) and discretized by a sampling time \(\Delta T\) into the following form,

\[
\begin{align*}
X(k + 1) &= AX(k) + BU(k), \\
Y_t(k) &= C_t X(k) + D_t U(k), \\
Y_c(k) &= C_c X(k) + D_c U(k),
\end{align*}
\]

where \(X(k) = [x(k), y(k), z(k), \dot{x}(k), \dot{y}(k), \dot{z}(k)]^T\) is the state vector of relative positions and velocities at time instant \(k\), \(Y_t(k)\) is the output vector that tracks set-point spacecraft equilibrium positions, \(Y_c(k)\) is the output vector that constraints are imposed on, \(A\) is the discrete dynamics matrix obtained by \(A = e^{A_c \Delta T}\), \(U(k)\) is the control vector that represents an instantaneous change in the velocity \((\Delta V)\) of the spacecraft due to thruster burns, and \(B\) is the discrete control matrix, obtained by

\[
B = e^{A_c \Delta T} \begin{bmatrix} \mathbf{0}_{3x3} \\ I_{3x3} \end{bmatrix}.
\]

**MODEL PREDICTIVE CONTROL**

The Model Predictive Controller (MPC) functions by computing a trajectory over a finite, receding horizon to minimize a selected cost subject to constraints. Once an optimal state and control trajectory has been computed, the control is implemented for only the first discrete time interval. The optimization horizon then recedes by one step and the process is repeated starting with the current state as the initial condition. By recomputing the solution for the current state as the initial condition, MPC generates a feedback action that can effectively compensate for the uncertainties and disturbances and reacts to changes in the constraints such as an obstacle appearing along the maneuver path.

**MPC Problem Formulation**

For spacecraft maneuver planning, MPC solves the following minimization problem for discrete state \(X(k)\) and control \(U(k)\),

\[
\min_U \sum_{i=1}^{N-1} ((Y_t(k+i) - r(k+i))^T Q (Y_t(k+i) - r(k+i)) + U(k+i)^T R U(k+i)) \\
+ (X(k+N) - X_f)^T P (X(k+N) - X_f),
\]

where

\[
U = [U(k), U(k+1), ... U(k+N), U(k+N+1)]^T
\]

such that for all \(i\)

\[
\begin{align*}
X(k+i) &= AX(k+i-1) + BU(k+i-1), \\
U_{\text{min}} \leq U(k+i) \leq U_{\text{max}}, \\
Y_t(k+i) &= \begin{bmatrix} I_{3x3} & 0 \end{bmatrix} X(k+i)
\end{align*}
\]
where $N$ is the length of the horizon, $r(k+i)$ is the reference vector at discrete step $k+i$, $X_f$ is the target end state, $Q$ and $R$ are the state and control weight matrices, and $P$ is the solution to the discrete Riccati equation for the unconstrained infinite horizon variant of the MPC problem. For this research, the goal is to rendezvous with the chief satellite at the origin, which implies that $X_f$ and $r(k)$ are both the zero vector. This results in a cost function that is the same as a nominal LQ controller. The constraint on the second line of Equation (9) represents the control constraints. Other variants of this constraint can be considered depending on the thruster type and configuration, such as polyhedral approximations to 2-norm.

Under appropriate assumptions, Equations (8)-(9) reduce to a convex quadratic programming problem of the form,

$$\min_U \frac{1}{2} U^T S U + H^T U,$$

subject to

$$V U \leq W,$$

where $S$ and $H$ are appropriately defined and depend on $A$, $B$, $Q$, $R$, and $P$.

**Obstacle Avoidance Constraints**

Obstacle avoidance, in contrast to the control constraint in Equation (9), is a non-convex problem. Utilizing MPC on such a problem is prone to difficulties, e.g. no guarantees exist to find a global minimal solution. Numerous approaches to dealing with obstacle avoidance have been developed, and in this paper we follow the approach in our previous works (see References 15, 7, and 8), where the obstacle is separated from the spacecraft by a rotating hyperplane and the MPC problem solved is subject to a convex rotating hyperplane constraint. When an obstacle is sensed by the spacecraft, a hyperplane tangent to the spacecraft is projected on the obstacle and rotated at a constant rate. At each discrete time step, the following linear constraint is imposed on the predicted state $X(k+i)$,

$$n_k^T \left[ r_{c,k} - \begin{bmatrix} I_{3\times3} & 0 \end{bmatrix} X(k+i) \right] \leq 0,$$

where $n_k$ is a normal unit vector from the center of the obstacle to the current position of the spacecraft, $r_{c,k}$ is a point on the edge of the obstacle, $X(k)$ is the state vector of the spacecraft at time $k$, and $i = 0, \cdots, N$. The rate of rotation and direction of rotation are governed by the spacecraft’s initial and final positions, and the rotation is stopped once the normal from the center of the obstacle to the final position is aligned with the normal of the hyperplane. The addition of the rotating hyperplane adds another layer of complexity to the MPC formulation. Therefore, the hyperplane constraint is only active when the spacecraft senses a obstacle and is within a certain distance of it.

**Simulation Results**

In these simulations, MPC is used to guide a spacecraft, initially located 5 km ahead in the in-track direction, toward the origin, with a convergence radius of 50 m. The spacecraft dynamics are discretized at a sample rate of 120 seconds to provide sufficient time for robust maneuver changes. The horizon length is set at 360 steps (total time 43,200 sec), approximately half an orbit period at geostationary orbit, which allows for full utilization of Clohessy-Wiltshire relative motion dynamics. The $Q$ and $R$ weighting matrices are chosen as $1 \times 10^{-3}[I_{6\times6}]$ and $2 \times 10^3[I_{3\times3}]$ respectively, so that $R$ is much larger than $Q$ to penalize the control effort. For the control constraint, a max $\Delta V$ of 0.01 km/sec in the radial track, the in-track, and the out-of-plane direction are imposed. Figures 1 - 2 show the spacecraft’s path and $\Delta V$ inputs for a nominal case with no obstructions.
In Figure 1, the trajectory of the spacecraft is shown in blue while the $\Delta V$ vector at each time step is shown in pink. For this simulation, the spacecraft can be seen going out of the in-track direction after an initial large $\Delta V$, and then uses the relative motion dynamics to approach the chief with little control. In Figure 2, the control in the radial and in-track are shown for the entire duration of the maneuver in blue, while the control limit is represented by the pink dashed lines. As seen, the maneuver is completed well within the control limits. Since $R$ is much larger than $Q$, the control is penalized more, resulting in a $\Delta V$ efficient path. These results are evidence that MPC can guide a spacecraft back to the chief satellite within control constraints, but since an optimal trajectory is recalculated at every time step, the algorithm is computationally expensive. This extra computation is unnecessary if the environment does not contain any appearing obstacles or disturbances, which is unlikely in a real application. To demonstrate the robustness of MPC to obstacles, another simulation is performed where an obstacle, represented as a sphere with a radius of 0.2 km, appears mid-simulation, 1 km ahead of the spacecraft when it is halfway through its trajectory.
It can be seen in Figure 3 that in the beginning of this maneuver, the spacecraft travels along the same path as in the previous simulations. When the obstacle appears, the spacecraft not only avoids it, but then returns to previous path so that it can utilize the relative motion dynamics and minimize control. In Figure 4, the control is not as smooth as before due to the obstacle suddenly appearing, but is still within the predefined limits.

The above simulations were done with a prediction horizon of 360 steps, which for MPC, introduces massive amounts of computational complexity and makes it less appealing for on-board implementation. There are various options to reduce computation time, the easiest being to reduce the number of steps in the horizon. This can be done one of two ways: by increasing the discrete time step while keeping the number of steps in the horizon constant, or by reducing the number of steps in the horizon while keeping the discrete time step the same. The first of these methods reduces the robustness of the algorithm because if an obstacle appears, the spacecraft must wait longer to react and change its maneuver. The second method avoids the robustness issue by keeping the initial discrete time step, but it shortens how far MPC can look into the future. The focus of this paper is to improve robustness of on-board relative motion algorithms, so the second was chosen to reduce computations. Figures 5 show the resulting trajectories if the horizon length was significantly shorted to 50 steps (6000 sec).
Table 1 shows the maneuver time (in sec), total control effort (in km/sec), and relative computational time (in sec) for each case. It should be noted that all simulations were performed using MATLAB, and that the computational time can be either increased or decreased depending on the computational power available. These results were produced using a computer with an Intel i7 processor.

<table>
<thead>
<tr>
<th></th>
<th>Maneuver Time (hr)</th>
<th>Total $\Delta V$ (km/sec)</th>
<th>Computation Time (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Long Horizon, No Obstacle</td>
<td>39.3000</td>
<td>0.33455</td>
<td>1764.5013</td>
</tr>
<tr>
<td>Long Horizon, One Obstacle</td>
<td>39.3667</td>
<td>0.33645</td>
<td>2441.9776</td>
</tr>
<tr>
<td>Short Horizon, No Obstacle</td>
<td>39.3000</td>
<td>0.33455</td>
<td>22.2595</td>
</tr>
<tr>
<td>Short Horizon, One Obstacle</td>
<td>39.3667</td>
<td>0.33645</td>
<td>23.3119</td>
</tr>
</tbody>
</table>

Table 1. Maneuver Time, Control Effort, and Computation Time Required for MPC Simulations

From the results presented, it can be seen that the trajectories, maneuver time, and control effort using long and short horizons are exactly the same. The drastic change is the total computation time, which was the whole point in making the shorter horizon. This presents the question, why have a longer horizon if the shorter horizon yields the same result? MPC is more advantageous the longer the prediction horizon is. If there was a constant/bounded disturbance or multiple obstacles, the changes in the two horizons would be more evident. The focus of this work is introduce MPC and ECG as candidates for improving robust relative motion, and hence only a single obstacle is shown for simplicity. It should also be noted that the horizon was not chosen to be smaller because MPC’s effectiveness would begin to diminishes. In future works, constant/bounded disturbances and multiple obstacles will be introduced to show the differences between the two horizon solutions.

Additionally, from the numerical results presented it can be shown that adding an obstacle in the path of the spacecraft does increase computational time, which is to be expected since the added obstacle constraint is active for a portion of this trajectory. Maneuver time and total $\Delta V$ are also greater, but it should be noted that the results are relativity close to the no obstacle case, showing that the MPC controller attempts to avoid the obstacle with little change to its nominal path. The addition of obstacles in the long horizon case is also extremely computationally expensive, since the added steps in the horizon and the obstacle constraint both increase the computation time. Therefore, work is being performed to allow the implementation of a long horizon MPC algorithm in a more computationally efficient manner using sub-interval constraints. Overall, these results conclude that MPC is effective to guiding a spacecraft in a changing environment, but complexity
issues must be addressed such that MPC may be an effective and robust navigation algorithm.

EXTENDED COMMAND GOVERNOR WITH LINEAR QUADRATIC CONTROLLER

The ECG is implemented to enforce state and control constraints by modifying the set-point commands to the closed-loop system, as shown in Figure 6. In this system, \( \hat{x} \) is the state estimate*, \( y \) is the system output constrained by the set inclusion conditions \( g(t) \in Y \) for all \( t \), \( w \) is the disturbance/uncertainty, \( v \) is the ECG output, and \( r \) is the reference command.

\[
\begin{align*}
X(k + 1) &= AX(t) + BU(t), \\
Y_t(k) &= C_tX(t) + D_tU(t), \\
Y_c(k) &= C_cX(t) + D_cU(t),
\end{align*}
\]

where \( X \) is the state vector of spacecraft positions and velocities, \( U \) is the control vector of impulse velocities, \( Y_t \) is the output vector that tracks set-point spacecraft equilibrium positions, and \( Y_c \) is the output vector that constraints are imposed on. The optimal feedback gain \( K_{LQ} \) is determined by minimizing the cost function

\[
J = \sum_{n=1}^{\infty} (X(n)^T Q X(n) + U(n)^T R U(n)),
\]

such that

\[
U(n) = K_{LQ}X(n),
\]

where \( Q = Q^T \geq 0 \) and \( R = R^T > 0 \) are the weight matrices on the state and the control. The controller is then augmented for set-point tracking,

\[
U(t) = K_{LQ}X(t) + \Gamma v(t),
\]

where

\[
\Gamma = (C_t(I - A - BK_{LQ})^{-1}B + D_c)^{-1},
\]

such that for constant \( v \), it is guaranteed that

\[
Y_t(t) \to v \text{ as } t \to \infty.
\]

*\( \hat{x} = x \) in this paper and navigation errors will be accounted for in the future work.

Figure 6. Extended Command Governor Applied to a Closed-Loop System

LQ Controller Formulation

The nominal controller for the spacecraft is of LQ type, designed on the basis of the discrete-time linear system model,

\[
\begin{align*}
X(k + 1) &= AX(t) + BU(t), \\
Y_t(k) &= C_tX(t) + D_tU(t), \\
Y_c(k) &= C_cX(t) + D_cU(t),
\end{align*}
\]

such that

\[
U(n) = K_{LQ}X(n),
\]

where \( Q = Q^T \geq 0 \) and \( R = R^T > 0 \) are the weight matrices on the state and the control. The controller is then augmented for set-point tracking,

\[
U(t) = K_{LQ}X(t) + \Gamma v(t),
\]

where

\[
\Gamma = (C_t(I - A - BK_{LQ})^{-1}B + D_c)^{-1},
\]

such that for constant \( v \), it is guaranteed that

\[
Y_t(t) \to v \text{ as } t \to \infty.
\]
**ECG Problem Formulation**

The ECG uses the set, $\hat{O}_\infty$, of states and parameterized inputs such that the constraints are satisfied for all time. The ECG output is defined by

$$v(t) = \rho(t) + \bar{C}\bar{x}(t),$$  \hspace{1cm} (19)

where $\rho(t)$ and $\bar{x}(t)$ are solutions to the following optimization problem

$$\begin{align*}
\text{minimize} & \quad ||\rho(t) - r(t)||^2_O + ||\bar{x}(t)||^2_Q, \\
\text{subject to} & \quad (\rho(t), \bar{x}(t), x(t)) \in \hat{O}_\infty.
\end{align*}$$  \hspace{1cm} (20)

The $\bar{x} \in \mathbb{R}^{\bar{n}}$, $\bar{n} \geq 0$, and $\rho$ are states of a stable auxiliary dynamic system which evolve over the semi-infinite prediction horizon according to

$$\begin{align*}
\bar{x}(t + k + 1) &= \bar{A}\bar{x}(t + k), k \geq 0, \\
\rho(t + k) &= \rho(t).
\end{align*}$$  \hspace{1cm} (21)

The set $\hat{O}_\infty$ is a finitely determined inner approximation to the set of all $(\rho(t), \bar{x}(t), X(t))$ that do not induce subsequent violation of the constraint $Y_c(t + k) \in Y$ when the input sequence $v(t + k)$ is determined by the fictitious dynamics per Equations (19) and (21). In the case when $Y$ is polyhedral, computational procedures exist that lead to polyhedral $\hat{O}_\infty$. Without the fictitious states, i.e., when $\bar{n} = 0$, the ECG becomes the simple command governor. The optimization problem can be solved online using conventional quadratic programming techniques. The iterative procedures can be avoided by using explicit multi-parametric quadratic programming, leading to $\rho$ and $\bar{x}$ being given as a piecewise-affine function of the state $x(t)$ and reference $r$.

Various choices of $\bar{A}$ and $\bar{C}$ can be made. The shift sequences used in Reference 17 are generated by the fictitious dynamics with,

$$\begin{align*}
\bar{A} &= \begin{bmatrix}
0 & I_m & 0 & 0 & \cdots \\
0 & 0 & I_m & 0 & \cdots \\
0 & 0 & 0 & I_m & \cdots \\
\vdots & \vdots & \vdots & \vdots & \ddots
\end{bmatrix}, \\
\bar{C} &= [I_m \ 0 \ 0 \ 0 \ \cdots],
\end{align*}$$  \hspace{1cm} (22)

where $I_m$ is an $m \times m$ identity matrix. Another approach uses Laguerre sequences. These sequences possess orthogonality properties and are generated by the fictitious dynamics with

$$\begin{align*}
\bar{A} &= \begin{bmatrix}
\epsilon I_m & \zeta I_m & -\epsilon\zeta I_m & \epsilon^2\zeta I_m & \cdots \\
0 & \epsilon I_m & \zeta I_m & -\epsilon\zeta I_m & \cdots \\
0 & 0 & \epsilon I_m & \zeta I_m & \cdots \\
\vdots & \vdots & \vdots & \vdots & \ddots
\end{bmatrix}, \\
\bar{C} &= \sqrt{\zeta} [I_m \ -\epsilon I_m \ \epsilon^2 I_m \ -\epsilon^3 I_m \ \cdots \ (-\epsilon)^{N-1} I_m],
\end{align*}$$  \hspace{1cm} (23)

where $\zeta = 1 - \epsilon^2$ and $0 \leq \epsilon \leq 1$ is a selectable parameter that corresponds to the time-constant of the fictitious dynamics. Note that with the choice of $\epsilon = 0$, Equation (23) coincides with the shift register considered in Reference 17. The implementation of the ECG is feasible using partial state information and reduced order models following the approach in Reference 20.

Obstacle avoidance is achieved by augmenting the LQ/ECG combined scheme to satisfy time-varying, obstacle-related constraints as well as control constraints. In the MPC case, the obstacle avoidance constraint was treated as a rotating hyperplane, shown in Equation (12). This can be rewritten in the general form,
\[ H(t)Y_c \leq h(t), \quad (24) \]

Let,

\[
X = \begin{bmatrix}
\dot{x} \\
\rho \\
X
\end{bmatrix},
\quad (25)
\]

which results from the following augmented dynamics,

\[
X(k + 1) = AX(k), \\
Y_c(k) = CX(k),
\quad (26)
\]

where,

\[
A = \begin{bmatrix}
\bar{A} & 0 & 0 \\
0 & I & 0 \\
B\Gamma\bar{C} & B\Gamma & A + BK_{LQ}
\end{bmatrix},
\quad (27)
\]

\[
C = [D_c\Gamma\bar{C} \quad D_c\Gamma \quad C_c + D_cK_{LQ}].
\]

To implement obstacle avoidance with the LQ/ECG controller, the minimization in Equation (20) is performed at current time instant \( t \) subject to the additional constraint

\[
H(t)CA_kX \leq h(t), \quad k = 0, \ldots, n_c,
\quad (28)
\]

where \( n_c \) is a constraint horizon that is comparable to the settling time of the closed-loop system with the nominal LQ controller.

Since the constraint in Equation (28) adds another layer of complexity to the problem, the obstacle avoidance LQ/ECG controller is more computationally intensive. Therefore, the avoidance constraint is only active when the spacecraft senses and is within a certain distance of the obstacle.

**Simulation Results**

The simulations presented in this section have the same initial conditions as the MPC simulations. The spacecraft is displaced 5 km in the in-track direction and has the objective to rendezvous to an area with a 50 m radius around the chief satellite. The whole system is discretized at 120 sec, and \( n_c \) was chosen to be 3 steps, or 3600 sec. The \( Q \) and \( R \) matrices are set to \( 100[I_{6 \times 6}] \) and \( 2 \times 10^3[I_{3 \times 3}] \), which are the same weights when the discrete Riccati equation is solved for MPC. The same control constraint of 0.01 km/sec for \( \Delta V \) is also used. The simulation in Figures 7-8 show results for spacecraft motion without an obstacle.
The trajectory in Figure 7 is a result of the LQ control utilizing the relative motion dynamics in order to conserve on control effort. This is due to choosing $R$ much larger than $Q$, which puts more cost on control. As a consequence of using these weight matrices, the control is smooth and small, well within the control limits, as shown in Figure 8.

To consider robust obstacle avoidance, a second simulation is performed where an obstacle of radius 0.2 km is placed in midway in the spacecraft’s trajectory, 1 km ahead of it. The results are shown in Figures 9-10.
The trajectory for the obstacle avoidance case is shown to begin the same as the no obstacle case. Once the spacecraft is within range of the obstacle, the LQ/ECG controller exerts one $\Delta V$ to avoid it. Afterward, the controller commands a trajectory that uses the relative motion dynamics to rendezvous with the chief. The overall control of the spacecraft stays small and does not approach its limits, as shown in Figure 10. As a result of these simulations, it can be concluded that the LQ/ECG configuration can guide a spacecraft successfully to the chief satellite, even in the presence of an obstacle.

The numerical results for both LQ/ECG simulations are shown in Table 2. The maneuver time for both simulations is relatively slow, with the total control for both being kept to a minimum. This is a result of the nominal LQ controller design, which minimizes the control exerted by having the spacecraft depend on the slow relative motion dynamics to reach the chief. The values for maneuver time and total $\Delta V$ in both simulations are close to one another, showing that the LQ/ECG controller attempts to make the least amount of change it its nominal, no obstacle path. It should be noted that the computation time taken for the obstacle case was only slightly more than the no obstacle case, which is a consequence of the avoidance constraint being active for only a portion of the trajectory. The close computation times are a promising result, showing that there is very little complexity added to the LQ/ECG controller when an obstacle appears.
<table>
<thead>
<tr>
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<th>Total $\Delta V$ (km/sec)</th>
<th>Computation Time (sec)</th>
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<tbody>
<tr>
<td>No Debris</td>
<td>39.3000</td>
<td>0.33455</td>
</tr>
<tr>
<td>One Debris</td>
<td>39.3667</td>
<td>0.33695</td>
</tr>
</tbody>
</table>

Table 2. Maneuver Time, Control Effort, and Computation Time Required for LQ/ECG Simulations

ROBOT IMPLEMENTATION

In order to demonstrate the real-time, on-board implementation, a robotic test-bed was developed using available hardware. The robot parts for this project were from the LEGO Mindstorms NXT set and were used to build a holonomic "omnibot" robot. This robot uses three motors with omni-directional wheels offset at 120-degree angles (seen in Figure 11), allowing for uncoupled planar motion, which is particularly important for simulating spacecraft motion.

![Figure 11. Geometry of Omnibot, Showing Forward Wheel Directions](image)

To estimate the omnibot’s position and provide feedback for the algorithm, a PhaseSpace inertial measurement system is used. This system combines a series of cameras and an LED controller to track specific LEDs and output their positions in inertial space. A series of algorithms were developed to distill this data into a usable form and generate states in the relative Hill’s frame.

An inertial measurement unit (IMU) was also used to develop a dead-reckoning algorithm for when PhaseSpace data is lost. The IMU used was a VectorNav VN-100R IMU, which contains a 3-axis accelerometer and 3-axis gyroscope, and a MATLAB interface was developed to communicate with the it using MATLAB’s built-in serial functions. Since the motion modeled by the omnibot is planar, two dimensions of the acceleration and one dimension of rotation rate were used to propagate the IMU’s state estimate in a dead-reckoning algorithm. This was done by using rotation rate data to track orientation estimates, transforming the body-frame acceleration to the inertial frame, and then integrating once for velocity and once more for position. Unfortunately, this method of state updating is well known to be inaccurate unless the precision of the IMU hardware is flawless, so there is considerable error propagation in this method. This is discussed further in the results below.

Omnibot Model

An important aspect of demonstrating the desired guidance algorithms on a robotic platform is being able to command the robot to a desired state. With the MPC and LQ/ECG method, it was necessary to ensure the ability to command a position and simulate the velocity of the vehicle between waypoints. With this in mind, a kinematic model was developed for the omnibot.

The omnibot motion is commanded by integer-value power settings between -100 and 100 for each motor, with negative values indicating rotation opposite of the direction indicated in Figure 11. The main insight that allowed for a kinematic model was that there was an observed, nearly linear correlation between the power input and rotation rate of the wheel. For the model, the power settings allowed were limited such that they
were within this linear range. This lead to the overall conclusion that a given power setting implies a certain velocity for the robot. Another key insight in the model development was the velocity matching requirement. Since there is an equal change in time between each waypoint of the trajectories, it is desired to drive the robot toward each waypoint within a fixed time step, which implies a desired velocity matching requirement.

Given the above insights, an algorithm was developed to calculate motor power settings for the omnibot, given a desired displacement and fixed time step. When traveling from one waypoint to another, the displacement magnitudes, $dx$ for radial and $dy$ for in-track, and defined time step, $dt$, imply the velocities in the $x$ and $y$ direction that the omnibot must match. The desired velocity can then be converted to a desired power in the $x$ and $y$ directions, $P_x$ and $P_y$ respectively. Using the geometry of the omnibot as shown in Figure 11, the following power setting equations were developed,

$$
P_x = P_A - P_B \cos(\pi/6) - P_C \cos(\pi/6),
$$

$$
P_y = -P_B \sin(\pi/6) + P_C \sin(\pi/6),
$$

where $P_A$, $P_B$, and $P_C$ are the powers commanded to motors A, B, and C respectively (as designated in Figure 11). An additional constraint was placed on the robot to ensure that it maintained a zero rotation rate about the center of mass,

$$
0 = P_A + P_B + P_C.
$$

With $P_x$ and $P_y$ prescribed by the desired trajectory, the system of three-equations and three-unknowns is trivial to solve. Once the wheel power commands are calculated, they are rounded to satisfy the robot’s integer-valued, power inputs requirement. After these power settings are calculated, the robot is guided to the waypoint by slightly modifying the motor powers to adjust the direction of displacement. By preserving the 2-norm of the powers and modifying the unit-vectors slightly, the robot maintains a given velocity and drives toward a waypoint with feedback control.

The model developed in this section was tested and validated experimentally using the PhaseSpace system to track the true position and provide feedback to adjust the motors. The model’s performance to command was accurate within the domain of motor powers, where the correlation between motor power and wheel rotation rate is roughly linear.

Results

With a robot model that effectively drives to a given waypoint in a fixed amount of time, the MPC and LQ/ECG controllers could finally be tested and demonstrated with hardware. The figures in this section show the results of testing the MPC method on the omnibot.
Figure 12. MPC Implementation on Omnibot

Figure 12 shows the performance of the omnibot using the MPC algorithm without any obstacle. It can be seen from this result that the IMU data cannot be trusted without frequent reinforcement from the PhaseSpace system. This is partially due to the manner in which IMU data is collected. Since both the robot control and data collection (PhaseSpace and IMU) are run from a single MATLAB script, the program is gathering data from the IMU once every loop iteration. If the IMU data could be collected and averaged more frequently, the results from the dead-reckoning algorithm should improve. This could be accomplished by having the IMU data algorithm run separately from the robot control script. From a hardware perspective, one possible solution is to use a Raspberry Pi to collect data and run the dead-reckoning algorithm to track the IMU state estimate. The main control algorithm could query the Raspberry Pi over Wi-Fi for the most recent state estimate, similar to how the control algorithm currently queries the PhaseSpace server for the most recent position estimate.

Figure 13. MPC Implementation on Omnibot With One Obstacle

One of the primary advantages of the MPC algorithm for optimal trajectory is its robustness to suddenly appearing obstacles. Figure 13 shows the performance of the omnibot with an obstacle appearing halfway through the trajectory. The experimental data shows that MPC is able to compute a new trajectory, resulting in the omnibot’s avoidance of the obstacle.

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An interesting side effect of the hardware used is the addition of random noise. Since the robot is not made for precision maneuvers, there are variable time lags associated with commanding the robot. This results in
different trajectories despite the same initial conditions. However, it was observed that even with the added noise, MPC is still able to maneuver around the obstacle and rendezvous with the chief.

The results from this section show that this robotic test-bed can be used to effectively demonstrate the MPC algorithm and visualize the simulation results, as well as demonstrate the robustness of the MPC algorithm to random noise brought on by the inaccuracies of the hardware. In the future, the LQ/ECG will be tested under the same conditions and compared to the MPC results. Also, it is desired to perform tests using more accurate hardware such that precision maneuvers are simulated accurately.

**COMPARISON**

The two algorithms presented in this paper have their respective advantages and disadvantages when considering maneuver time, control effort, and computational efficiency. The numerical results comparing MPC and LQ/ECG in the no obstacle case are recorded in Table 3, and it can be seen that both controllers produce identical trajectories, rendezvousing with the chief in the same amount of time with the same amount of control effort. Both methods are based upon the same general LQ cost function and have identical weighting matrices $R$ and $Q$. The constraints enforced upon the control are entered into each controller in very similar ways as well. Therefore, since both methods began with the same initial conditions, the resulting trajectories will be nearly identical.

<table>
<thead>
<tr>
<th>Maneuver Time (hr)</th>
<th>Total $\Delta V$ (km/sec)</th>
<th>Computation Time (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>MPC, Long Horizon</td>
<td>39.3000</td>
<td>0.33455</td>
</tr>
<tr>
<td>MPC, Short Horizon</td>
<td>39.3000</td>
<td>0.33455</td>
</tr>
<tr>
<td>LQ/ECG</td>
<td>39.3000</td>
<td>0.33455</td>
</tr>
</tbody>
</table>

**Table 3. Numerical Results for MPC and LQ/ECG Simulations With No Obstacle**

When an obstacle is entered into the problem formulation, the responses of the MPC and ECG controllers start to differ, as shown by Table 4. Each begin with nearly identical trajectories, but the change is evident when the obstacle is sensed. MPC has a large prediction and control horizon (even when the short horizon is used) and is able to optimize for control over larger time. The LQ/ECG controller in contrast has a smaller prediction and control horizon, one which is comparable to its settling time, which accounts for the difference in computation time. Once the obstacle is avoided, each controller resumes approaching the chief satellite by utilizing the relative motion dynamics. However, it can be seen in Figure 14 that the MPC solution is more smooth than the LQR/ECG solution, which results in less control effort by MPC (note that only the short horizon MPC trajectory is shown, since the long horizon solution with one obstacle is nearly identical). This again can be attributed to the fact that MPC has a much larger prediction and control horizon than the LQ/ECG method. Ultimately, MPC is the more robust and of controller and produces a more fuel effective solution since it takes into consideration a larger time interval when optimizing, but LQ/ECG is appealing since its computational complexity is small and whose control effort only differs a little from the MPC solution. Both the short horizon MPC and LQ/ECG methods produce similar trajectories with similar control effort that can be used for on-board implementation, so the determining factor in choosing one method or another is dependent on the spacecraft’s necessity for robustness versus computation power.

<table>
<thead>
<tr>
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<th>Total $\Delta V$ (km/sec)</th>
<th>Computation Time (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>MPC, Long Horizon</td>
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<td>0.33645</td>
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<tr>
<td>MPC, Short Horizon</td>
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<td>0.33645</td>
</tr>
<tr>
<td>LQ/ECG</td>
<td>39.3667</td>
<td>0.33695</td>
</tr>
</tbody>
</table>

**Table 4. Numerical Results for MPC and LQ/ECG Simulations With One Obstacle**
CONCLUSION

Autonomy in spacecraft guidance and control has become an increasingly important subject as the space environment becomes more crowded and mission objectives require more precision and robustness. This paper explores two methods of fast on-board autonomous spacecraft guidance and control based on Model Predictive Control and a Linear Quadratic controller augmented by an Extended Command Governor. In simulations and robotic, test-bed experiments, each controller has been shown to successfully rendezvous with a chief satellite and avoid suddenly appearing obstacles. Both methods result in similar trajectories, but due to the length of prediction and control horizons, the LQ/ECG configuration is less complex and more computationally efficient. This new innovative method for obstacle avoidance is attractive for on-board implementation. MPC in contrast is a widely studied controller, and in this work was compared against the LQ/ECG method. While more computationally taxing, it produced a more efficient control efficient solution than the LQ/ECG method. By shortening the prediction and control horizon, it was also shown that MPC’s computational requirement could be reduced. It is desired in future works to address disturbances and multiple obstacles with both methods.

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